

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,048	08/24/2001	Takashi Katayama	YAMAP0775US

CONFIRMATION NO. 1726

FORMALITIES LETTER



OC000000006800254

Neil A. DuChez
Renner, Otto, Boisselle, & Sklar, L.L.P.
1621 Euclid Avenue, 19th Floor
Cleveland, OH 44115

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/18/2001 AWONDAF1 00000139 180988 09933048

FILED UNDER 37 CFR 1.53(b)

02 FC:105	130.00 OP
03 FC:103	54.00 OP
04 FC:102	420.00 OP
05 FC:101	690.00 OP
50.00 CH	

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$454.
 - \$54 for 3 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1294.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



7A

§ 52 Cter

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Farr

(Type or print name of person mailing paper)

Date: October 12, 2001


(Signature of person mailing paper)

YAMAP0775US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Katayama et al.

Serial No: 09/939,048

Filed: August 24, 2001

Art Unit: 2631

Examiner:

For: SIGNAL PROCESSING APPARATUS, SIGNAL PROCESSING METHOD,
PROGRAM AND RECORDING MEDIUM

LETTER

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a response to the Notice to File Missing Parts which was mailed
September 27, 2001.

The notice indicated that the inventor's Declaration was missing. In
response, please find enclosed a fully executed inventor's Declaration.

The notice also indicated that the statutory basic filing fee was missing.
In response, please find enclosed a check for the basic filing fee.

Also enclosed is an Assignment along with an Assignment Recordation Cover Sheet for the above-identified application. Applicants respectfully request recordation of the Assignment.

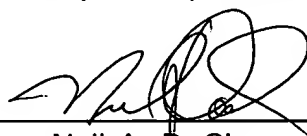
A check in the amount of \$1,334.00 is enclosed to cover the required fees for the basic filing fee (\$1,164.00), for the late filing of the declaration (\$130.00) and recordal of the assignment (\$40.00).

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 18-0988; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and (d) (presentation of extra claims); 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application); and 37 CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.

By



Neil A. DuChez
Reg. No. 26,725

The Keith Building
1621 Euclid Avenue
Nineteenth Floor
Cleveland, Ohio 44115
216/621-1113